



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

September 26, 2019

LARRY J. STEINBERG, TREASURER
MCCONNELL SENATE COMMITTEE
PO BOX 1496
LOUISVILLE, KY 40201

Response Due Date
10/31/2019

IDENTIFICATION NUMBER: C00193342

REFERENCE: JULY QUARTERLY REPORT (04/01/2019 - 06/30/2019)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 1 item(s):

- Schedule A of your report discloses one or more contributions that appear to exceed the limits set forth in the Act (see attached). The Commission notes your additional explanation regarding the committee's corrective action taken for some of these contributions.

An individual or a political committee other than an authorized committee or a qualified multi-candidate committee may not make a contribution(s) to a candidate for federal office in excess of \$2,800 per election. An authorized committee may not make a contribution(s) to a candidate for federal office in excess of \$2,000 per election. A qualified multi-candidate committee and all affiliated committees may not make a contribution(s) to a candidate for federal office in excess of \$5,000 per election. The attached PACs did not meet the requirements for qualified multi-candidate status as of the date the contribution(s) was made to your committee. The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for federal office. (52 U.S.C. § 30116(a) and (f) (formerly 2 U.S.C. § 441a(a) and (f)); 11 CFR §§ 110.1(b), (e) and (k), and 102.13(c))

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you must amend your original report with clarifying information.

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Please be reminded that all refunds, redesignations and reattributions must be made within 60 days of receipt of the contribution. To date, one or more of the apparent excessive contributions have not been refunded, redesignated, or reattributed.

For reattributions, the funds can be retained if, within 60 days of receipt, the excessive amount was properly reattributed to another person. An excessive contribution is considered properly reattributed if (1) the contributors provide the committee with written documentation, signed by each contributor, authorizing a reattribution and indicating the amount of the contribution to be attributed to each contributor; or (2) the committee reattributes by presumption the excessive portion of the contribution if the contribution was made on a written instrument from a joint account and was signed by only one of the account holders. In this case, the treasurer must notify the contributors in writing within 60 days of receiving the contribution that the committee intends to reattribute the excessive portion and must give the contributor who signed the check an opportunity to request a refund. (11 CFR § 110.1(k)(3)(ii)(B))

For redesignations, the funds can be retained if, within 60 days of receipt, the excessive amount was properly designated for a different election. An excessive contribution is considered properly redesignated if (1) the committee obtains signed written documentation from the contributor(s) authorizing the redesignation of the contribution for another election, provided that the new designation does not exceed the limitations on contributions made with respect to that election; or (2) the committee redesignates by presumption the excessive portion of the contribution for another election, provided that the new designation does not exceed the limitations on contributions made with respect to that election. In this case, the treasurer must notify the contributor of the redesignation in writing within 60 days of the treasurer's receipt of the contribution. The notification must give the contributor an opportunity to request a refund. (11 CFR § 110.1(b)(5)(ii)(B)) A contribution can only be redesignated to a previous election to the extent that the contribution does not exceed the committee's net debts outstanding for that election. (11 CFR § 110.1(b)(3)(i))

If the foregoing conditions for reattributions or redesignations are not met within 60 days of receipt, the excessive amount must be refunded. (11 CFR § 103.3(b)(1))

If you have not already done so, please inform the Commission of your corrective action immediately in writing and provide photocopies of any refund checks and/ or letters reattributing or redesignating the contributions in question.

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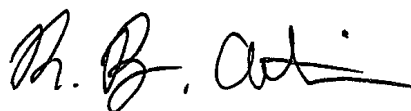
Refunds are reported on Line 20(a), (b), or (c), as applicable, of the Detailed Summary Page and on a supporting Schedule B of the report covering the period in which they are made. Redesignations and reattributions are reported as memo entries on Schedule A of the report covering the period in which the authorization for the redesignation and/or reattribution is received. (11 CFR § 104.8(d)(2), (3) and (4))

Although the Commission may take further legal action concerning the acceptance of excessive contributions, your prompt action to refund or redesignate and/or reattribute the excessive amount will be taken into consideration.

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. For additional information about the report review process or specific filing information for your committee type, please visit <http://transition.fec.gov/rad/>. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1196.

Sincerely,

A handwritten signature in black ink, appearing to read "B. B. Austin", with a stylized flourish at the end.

Bradley Austin
Senior Campaign Finance Analyst
Reports Analysis Division

Apparent Excessive, Prohibited, and Impermissible Contributions
McConnell Senate Committee (C00193342)

Apparent Excessive Contributions from Individuals

Contributor Name	Date	Amount	Election
Babbage, Robert A Mr.	5/8/15	\$1,000.00	P2020
Babbage, Robert A Mr.	7/25/16	\$500.00	P2020
Babbage, Bob	2/21/17	\$2,700.00	P2020
Babbage, Robert A Mr.	4/18/19	\$1,000.00	P2020
Baker, Ward	1/9/19	\$2,500.00	P2020
Baker, Ward	5/1/19	\$6,700.00	P2020
Baker, Ward	6/3/19	\$300.00	P2020
Baker, Ward	6/5/19	-\$2,800.00	P2020
Baker, Ward	6/5/19	-\$2,800.00	P2020
Brown, J. McCauley Mr.	12/31/16	\$1,000.00	P2020
Brown, J. McCauley Mr.	3/31/17	\$1,700.00	P2020
Brown, J. McCauley Mr.	6/30/19	\$1,550.00	P2020
Cobb, Stewart Mr.	9/11/18	\$300.00	G2020
Cobb, Stewart Mr.	6/21/19	\$2,700.00	G2020
Dixon, Darren	10/16/17	\$2,300.00	G2020
Dixon, Darren	6/28/19	\$2,800.00	G2020
Dixon, Darren	10/16/17	\$2,700.00	P2020
Dixon, Darren	6/28/19	\$2,800.00	P2020
Duchossois, Mary Ms.	2/5/19	\$1,400.00	P2020
Duchossois, Mary Ms.	6/28/19	\$2,800.00	P2020
Duchossois, Richard Mr.	11/15/18	\$2,000.00	P2020
Duchossois, Richard Mr.	2/5/19	\$800.00	P2020
Duchossois, Richard Mr.	6/28/19	\$600.00	P2020
Earnest, Cullen	6/5/19	\$6,000.00	G2020
Haslam, II, James	3/4/19	\$2,800.00	P2020
Haslam, James A. Mr. III	3/6/19	\$2,800.00	P2020
Haslam, II, James	5/22/19	\$5,600.00	P2020
Haslam, Natalie Mrs.	3/4/19	\$2,800.00	P2020

Apparent Excessive, Prohibited, and Impermissible Contributions
McConnell Senate Committee (C00193342)

Haslam, Natalie Mrs.	5/22/19	\$5,600.00	P2020
Hu, Xiaoping Cindy	6/17/19	\$5.00	P2020
Hu, Xiaoping Cindy	6/17/19	\$2,800.00	P2020
Hunt, Trott	1/31/19	\$1,000.00	P2020
Hunt, Trott	5/30/19	\$2,200.00	P2020
Lewis, B. Riggs	3/31/17	\$2,700.00	P2020
Lewis, Byron	6/30/19	\$1,000.00	P2020
Lipsey, Suzanne H.	4/10/19	\$250.00	G2020
Lipsey, Suzanne H.	5/4/19	\$250.00	G2020
Lipsey, Suzanne H.	5/6/19	\$200.00	G2020
Lipsey, Suzanne H.	5/13/19	\$250.00	G2020
Lipsey, Suzanne H.	5/14/19	\$250.00	G2020
Lipsey, Suzanne H.	5/15/19	\$250.00	G2020
Lipsey, Suzanne H.	5/15/19	\$250.00	G2020
Lipsey, Suzanne H.	5/20/19	\$250.00	G2020
Lipsey, Suzanne H.	6/3/19	\$250.00	G2020
Lipsey, Suzanne H.	6/3/19	\$5.00	G2020
Lipsey, Suzanne H.	6/9/19	\$250.00	G2020
Lipsey, Suzanne H.	6/28/19	\$5.00	G2020
Lipsey, Suzanne H.	6/28/19	\$250.00	G2020
Lipsey, Suzanne H.	6/28/19	\$250.00	G2020
Lipsey, Suzanne H.	6/30/19	\$100.00	G2020
More, Jeffrey	6/30/16	\$1,000.00	P2020
More, Jeffrey	6/30/18	\$1,000.00	P2020
More, Jeffery	6/4/19	\$1,000.00	P2020
Mosa Basha, Ismael	8/2/18	\$1,000.00	P2020
Mosa Basha, Ismael	6/19/19	\$2,200.00	P2020
Oneida Indian Nation	6/24/19	\$7,200.00	G2020
Pickens, Bob	6/30/19	\$2,500.00	P2020
Pickens, R. H. Mr.	5/1/19	\$1,000.00	P2020
Rosenberg, Donny	3/18/19	\$1,000.00	P2020
Rosenberg, Donny	6/27/19	\$2,200.00	P2020

Apparent Excessive, Prohibited, and Impermissible Contributions
McConnell Senate Committee (C00193342)

Smith, Rex Mr	1/21/16	\$300.00	G2020
Smith, Rex Mr	3/16/17	\$2,000.00	G2020
Smith, Rex Mr	4/16/18	\$400.00	G2020
Smith, Rex Mr.	6/27/19	\$2,800.00	G2020
Thomasson, Russell	5/12/16	\$1,000.00	P2020
Thommason, Russell	6/30/16	\$1,000.00	P2020
Thomasson, Russell	5/7/17	\$1,000.00	P2020
Thomasson, Russell	6/30/18	\$700.00	P2020
Thomasson, Russell	6/14/19	\$35.00	P2020
Underwood, Jason	12/8/16	\$1,000.00	P2020
Underwood, Jason	5/3/17	\$2,000.00	P2020
Underwood, Jason	8/25/17	\$700.00	P2020
Underwood, Jason	6/30/19	\$100.00	P2020
Walker, Christine	10/16/17	\$2,700.00	P2020
Walker, Christy	6/28/19	\$2,000.00	P2020
Wells, Darrell R Mr	6/23/17	\$400.00	G2020
Wells, Darrell R Mr	12/31/17	\$1,000.00	G2020
Wells, Darrell R Mr	9/30/17	\$250.00	G2020
Wells, Darrell R. Mr.	6/30/19	\$2,400.00	G2020

Apparent Excessive Contributions from Committees

Contributor Name	Date	Amount	Election
Consumer Bankers Association Political Action Committee, The	9/30/17	\$2,500.00	G2020
Consumer Bankers Association Political Action Committee, The	6/28/19	\$5,000.00	G2020
FirstEnergy PAC	6/23/17	\$2,500.00	G2020
FirstEnergy PAC	3/31/19	\$2,500.00	G2020
FirstEnergy PAC	6/27/19	\$2,500.00	G2020
Inter. Council Of Shopping Centers PAC	12/31/17	\$1,250.00	G2020
Inter. Council Of Shopping Centers PAC	6/30/19	\$5,000.00	G2020
Major League Baseball Assoc. PAC	6/30/16	\$5,000.00	P2020
Major League Baseball Assoc. PAC	6/30/19	\$5,000.00	P2020

**Apparent Excessive, Prohibited, and Impermissible Contributions
McConnell Senate Committee (C00193342)**

Apparent Excessive Contributions from Affiliated Committees

Contributor Name	Date	Amount	Election
The Roche Good Govt Committee	12/31/17	\$5,000.00	G2020
Genentech, Inc. PAC	5/1/19	\$5,000.00	G2020

Apparent Excessive Contributions from Committees not Qualified for Multi-Candidate Status

Contributor Name	Date	Amount	Election
First Data Corporation Political Action Committee First Data PAC	5/24/19	\$5,000.00	G2020
Vermeer Equipment Of Texas PAC	5/22/19	\$5,000.00	P2020